



Our Case No. 8406/4

[Handwritten initials]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
)
HOWARD B. GARBER)
)
Serial No. 08/868,200)
)
Filed: June 3, 1997)
)
For: SYSTEM AND METHOD FOR)
TRADING HAVING A)
PRINCIPAL MARKET MAKER)

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Attached are fourteen references pertinent to the above-captioned patent application.

Respectfully submitted,

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Dated: September 3, 1997

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